

09/26/01  
J1033 U.S. PTO  
09/26/01

SD6750/95599  
September 26, 2001

Express Mail No.: EL026587226US

BOX PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

J1033 U.S. PTO  
09/26/01  
09/26/01

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**Under 37 CFR 1.53(b)**

Transmitted herewith for filing is the patent application of

INVENTOR(S): Edward V. Thomas and Timothy J. Draelos

FOR: Authenticating Concealed Private Data While Maintaining  
Concealment

**I. APPLICATION ELEMENTS**

1. ☒ Fee Transmittal Form
2. ☒ Specification  
Total Pages 41
3. ☒ Drawings  
Total Pages 12
4. ☒ Oath or Declaration
  - a. ☒ Newly Executed
  - b. ☐ Copy from Prior Application  
(Continuation or Divisional)
- ☐ Deletion of Inventors  
Signed Statement Attached Deleting Inventor(s) named in prior  
Application. 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference (if Box 4b is checked). The entire  
disclosure of the prior application(s) from which a copy of the  
Oath or Declaration is supplied under Box 4b, is considered as  
being part of the disclosure of the accompanying application and  
is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)

**II. ACOMPANYING APPLICATION PARTS**

7. ☐ Assignment Papers (cover sheet and document(s))
8. ☐ 37 CFR 3.73(b) Statement (where there is an assignee) ☐ Power of Attorney
9. ☒ Information Disclosure Statement  
Copies of IDS  
(IDS)/PTO-1449  
Citations
10. ☐ Preliminary Amendment
11. ☒ Return Receipt Postcard (Itemized)
12. ☒ Other: Nonpublication Request

**III IF A CONTINUING APPLICATION**

- ☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP)  
of prior application No. \_\_/\_\_\_\_

**IV. CORRESPONDENCE ADDRESS**

Timothy D. Stanley  
Sandia National Laboratories  
P. O. Box 5800 - MS-0161  
Albuquerque, New Mexico 87185-0161

Phone: (505) 845-8124  
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**V. FEE PAYMENT BEING MADE AT THIS TIME**

- ☐ Not Enclosed  
☐ No filing fee is to be paid at this time (This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.)
- ☒ Enclosed  
☒ Basic Filing Fee \$710.00
- ☐ Recording Assignment  
(\$40.00; 37 CFR 1.21(h))
- ☐ Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached. (\$130.00; 37 CFR 1.47 and 1.17(h))
- ☐ Processing and Retention Fee

(\$130.00; 37 CFR 1.53(d) and 1.21(I))

<input checked="" type="checkbox"/>	Fee for additional claims added by preliminary amendment	\$378.00
	Total Fees Enclosed	\$1088.00

**VI. METHOD OF PAYMENT OF FEES**

- ☐ Check in the amount of \$ \_\_\_\_\_  
☒ Charge Deposit Account No. 19-0131 in the amount of \$1088.00.  
A duplicate of this transmittal is attached.

**VII. INSTRUCTIONS AS TO OVERPAYMENT OR UNDERPAYMENT**

- ☒ Credit Account No. 19-0131  
☒ Charge Account No. 19-0131

Dated:

September 25, 2001

John P. Hohimer  
John P. Hohimer, Reg. No. 39,226  
Sandia National Laboratories  
Patent & Licensing Center, 11500  
P. O. Box 5800 / MS 0161  
Albuquerque, NM 87185-0161  
Telephone: (505) 845-4007

**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the U. S. Postal Service on the 26th day of September, 2001, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL026587226US, addressed to the: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Viola Campos 9/26/01  
Viola Campos

09-27-01

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Jc962 U.S. PTO

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 PTO/SB/17 (12-98)  
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL FOR FY 2001

Patent fees are subject to annual revision.  
 Small Entity payments must be supported by a small entity statement.  
 Otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

## Complete if Known

Application Number	
Filing Date	9/26/01
First Named Inventor	Edward V. Thomas
Examiner Name	
Group / Art Unit	
Attorney Docket No.	SD-6750

TOTAL AMOUNT OF PAYMENT (\$)**1088.00**

## METHOD OF PAYMENT (check one)

The Commissioner is hereby authorized to charge

1. ☒ indicated fees and credit any over payments to:

Deposit Account Number **19-0131**

Deposit Account Name **Sandia National Labs**

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION (continued)

3. ADDITIONAL FEES					Fee Description	Fee Paid
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)			
105	130	205	65		Surcharge - late filing fee or oath	
127	50	227	25		Surcharge - late provisional filing fee or cover sheet	
139	130	139	130		Non-English specification	
147	2,520	147	2,520		For filing a request for reexamination	
112	920*	112	920*		Requesting publication of SIR prior to Examiner action	
113	1,840	11	1,840		Requesting publication of SIR after Examiner	
115	110	215	55		Extension for reply within first month	
116	390	216	195		Extension for reply within second month	
117	890	217	445		Extension for reply within third month	
118	1,390	218	695		Extension for reply within fourth month	
128	1,890	228	945		Extension for reply within fifth month	
119	310	219	155		Notice of Appeal	
120	310	220	155		Filing a brief in support of an appeal	
121	270	221	135		Request for oral hearing	
138	1,510	138	1,510		Petition to institute a public use proceeding	
140	110	240	55		Petition to revive - unavoidable	
141	1,240	241	620		Petition to revive - unintentional	
142	1,240	242	620		Utility issue fee (or reissue)	
143	440	243	220		Design issue fee	
144	600	244	300		Plant issue fee	
122	130	122	130		Petitions to the Commissioner	
123	50	123	50		Petitions related to provisional applications	
126	240	126	240		Submission of Information Disclosure Stmt	
581	40	581	40		Recording each patent assignment per property (times number of properties)	
146	710	246	355		Filing a submission after final refection (37 CFR 1.129(a))	
149	710	249	355		For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____						
Other fee (specify) _____						
*Reduced by Basic Filing Fee Paid					SUBTOTAL (3)	(\$)

SUBTOTAL (2) (\$)**378.00**

## FEE CALCULATION

1. BASIC FILING FEE					Fee Description	Fee Paid
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)			
101	710	201	355		Utility filing fee	710
106	320	206	160		Design filing fee	
107	490	207	245		Plant filing fee	
108	710	208	355		Reissue filing fee	
114	150	214	75		Provisional filing fee	
SUBTOTAL (1)						\$ 710

## 2. EXTRA CLAIM FEES

Extra Claims	Fee from below	Fee Paid
Total Claims <b>41</b>	-20**= <b>21</b>	X <b>18</b> = <b>378</b>
Independent Claims <b>3</b>	- 3**= <b>0</b>	X <b>80</b> = <b>0</b>
Multiple Dependent		=

\*\*or number previously paid, if greater; For Reissues, see below.

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

## SUBMITTED BY

Typed or Printed Name	John P. Hohimer	Complete (if applicable)	
Signature		Reg. Number	39,226
Date	9/25/01	Deposit Account User ID	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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<b>NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor		Edward V. Thomas
	Title	Authenticating Concealed Private Data While Maintaining Concealment	
	Atty Docket Number		SD-6750

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

September 25, 2001  
Date

  
Signature

John P. Hohimer  
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Application may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

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